How you can achieve Comprehensive Compliance
About Us

Founded in 2008 with offices in Montréal, Calgary, Toronto, and Shanghai.

Nimonik services over 700 customers around the world, especially mid-size international companies.
We are not EHS management software or just a content provider or simply an audit tool.

Nimonik is an integrated compliance solution for regulations, standards and internal requirements.
Agenda

1. Why compliance matters
2. How to get there
3. Product demonstration
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Minimal Management Supervisor led

Integrated Team based compliance

Inconsistent Piecemeal approach

Reactive Focus on putting out fires

Proactive Forward thinking
Surprises abound

Most organisations do not know all of their obligations, leading to “surprises”...

Across various topics (EHS, Quality, HR, safety,...) a typical factory 3,000+ obligations and 200+ changes per year.

Each non-compliance costs $5,000+ in internal costs.
Frequency of change 3x

Regulatory change has increased 3x in five years.

Organizations have not increased staff or updated processes to manage regulatory change.
The Four Pillars of Compliance

**1. Prevention**
- Risk profile
- Historicals
- Monitoring

**2. Detection**
- Early detection
- Self-reporting
- Internal Audits

**3. Response**
- Fast response
- Data analysis
- Action plans

**4. Correction**
- Actions
- Corrective
- Effectiveness
Organisations can operate free of compliance issues.
A Comprehensive Compliance program captures all of your **Obligations** across your **areas of compliance**:

- Quality
- Environmental
- Safety
- CyberSecurity
- HR, OHS, product...

...and **Sources**:

Regulatory, standards, contracts, permits, stakeholders, customers,...

...and enables timely management of **Actions** and **Audits**.
Obligations

External obligations from regulations & standards
Internal obligations from your files, permits and procedures.

Actions

External actions when regulations & standards change.
Internal actions issued by your team to come into compliance.

Audits

Inspect your facilities based on your Obligations.
Verify for action completion and effectiveness of those
Resilience

**Comprehensive Obligations**
- 360° Coverage
- Obligations mapped to systems

**Comprehensive Assurance**
- Audit ready
- Actions tracked

**Business Outcomes**
- Increased trust
- Fewer surprises
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Traditional Compliance Approaches

In-house
- Inconsistency
- Loss of institutional knowledge
- Time consuming

Consultants
- Compliance gaps & variability in work
- Expensive

Enterprise
- Integration is complex and can take years
- Expensive to build & maintain

Nimonik App

Just the right solution
not to big not too small
Nimonik Solution Architecture: Flexible and Scalable

1. Obligations
   - Document Level: 500,000 external documents + Internal documents
   - Clause Level: 250,000 identified obligations Obligations in internal documents

2. Audits
   - Web & Mobile Audits: Convert obligations and actions into audits Inspect & collect evidence

3. Actions
   - Action Management: Actions when obligations change Actions you create
Continuous Comprehensive Compliance: Nimonik Implementation

1. Plan
   - Identify Obligations with Questionnaire

2. Do
   - A. Select obligations & personalize the system
   - B. Map to responsible teams
   - C. Establish your process
   - D. Monitor for changes

3. Check
   - Follow-up on compliance findings

4. Act
   - Audit compliance on a regular basis
Elements for Success: Nimonik Implementation

Project Lead
Can prioritize implementation and has the necessary support

Management
Understands proactive compliance is an investment

Team
Recognizes the benefit of a compliance system and has time for training

Comprehensive Compliance
Key Benefits of Compliance with Nimonik

Create essential compliance records to prove Due Care Process

Centralize your internal & external compliance obligations and personalize the system

Rapidly report to management and colleagues

Facilitate collaboration across the business
Nimonik Product Journey

1. Newsletter
   Receive updates on regulations and standards

2. Library
   Consult a database of regulations and standards

3. Registers
   Build a register of applicable documents

4. Controls
   Link applicable documents to risk and controls

5. Audit
   Inspect and audit your operations for compliance
Thank you!

Nimonik offices
Solution Partners

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NimonikApp Outline

1. Facilities
2. Compliance Library - External Obligations
3. Registers: Document Level & Clause Level
4. Obligations in Permits and Corporate Documents
5. Regulatory Actions
6. Internal Actions
7. Audits & Inspections
8. Reports
Facilities

Identify your physical operations and outline Obligations, Actions and Audits for each location

Control access by business unit, region or facility
Library of External Obligations

Search for applicable documents in our comprehensive database of 500,000 + laws, regulations, standards and guidelines

Organized by topic, industry, equipment and jurisdiction
Add applicable documents to your Registers

Assess your compliance, leave notes, add custom fields and create Actions
Nimonik extracts obligations from your Internal Documents:

Permits, Stakeholder Engagement, Contracts and more
Select specific Obligations from Laws, Regulations, Guidelines and Standards

Receive alerts when obligations change or new are introduced.

Convert Obligations to Audit Templates and Checklists
Issue Actions based on audits, compliance assessments, equipment validation or any other item that requires an action.
External Actions

Receive action items when laws, regulations, standards and other documents are changed by their publishers.

Actions are sent to responsible people and teams. Reviews are logged in the system.
Audit on the go

Rapidly build your Audit Templates & attach reference documents

Schedule and conduct audits on the web and on Apple and Android devices

Synchronize Audit results and issue reports
Generate reports to benchmark your compliance status

Compare facilities, teams and track down problematic items across your organisation
Data security is a top priority at Nimonik. Nimonik has a robust information security management system based on ISO 27001.
Nimonik Solution Features

- **Up-to-date and comprehensive regulatory documents**
- **Action items are issued to your team when new or changed regulations are issued**
- **Receive easy to understand summaries of changes**
- **Access regulatory documents at all times**
- **Issue action items to your colleagues and partners**
- **Complete log of compliance efforts**
- **Powerful reports for management**
- **Audit protocols for over 200 jurisdictions**
- **Mobile and web based audit tools**
3% of laws and regulations are updated every year
The regulatory body tends to grow by 2% a year.
1. Filters
   173 EHS Topics
   8 Industries
   Document Status
   Document Type
   2,000 Types of Equipment

2. Implementation
   Collect organizational documents (Audits, spreadsheets, …) + Screening Questionnaire

3. Obligations
   Apply Risk Ranking
   Documents
   Clauses

4. Actions & Controls
   Assessment
   SOPs
   Controls...

5. Reports
   Obligations
   Actions
   Audits

1. Audits
   Regulatory
   Safety
   Quality...

500,000 documents + Audit Templates

Collect organizational documents (Audits, spreadsheets, …) + Screening Questionnaire
**Unique Compliance Obligations**

Facility 1  
Environmental 1  
Health and Safety

Facility 2  
Environmental 2

1. Unique Compliance Obligations
2. Duplicate of applicable documents
3. Each Facility analyze obligations separately, creating unique records

**Shared Compliance Obligations**

Facility 1  
 Facility 2

Environmental 1

1. One Compliance Obligations
2. Changes by one Facility affects other Facilities
3. One record of compliance assessments shared across Facilities

**Connected Compliance Obligations**

Facility 1  
Environmental 1

Facility 2  
Environmental 1 + Facility Specific Data

1. Parent - Child Relationships
2. Parent selects applicable documents first and sends specific documents to specific Facilities
3. Each Facility analyzes obligations separately, creating unique records
Nimonik Implementation

1. **Obligations**
   - A Comprehensive Compliance Workshop to map your operations and develop a compliance framework.

2. **Actions**
   - Determine your change management workflow.
   - Identify responsibilities in your organisation and issue action items to close non-compliance issues.
   - Issue actions for internal documents and schedule Audits.

3. **Audits**
   - Audit your facilities against regulatory obligations and your other obligations.
   - Generate short and long term compliance actions.
   - Generate reports for your management and colleagues.
The Demands of Regulatory Compliance

- An average facility has over 3,000 compliance obligations in laws, regulations and standards
- Thousands of laws and regulations are changed around the world every day
- It can be difficult to know what a law’s intent is or when it may come into force
- Laws and regulations are in local languages
- Regulations often depend on guidelines and other documents
- Many jurisdictions do not consolidate laws and regulations
- Not all regulations are available online or easy to find
Implementation Costs

- People who know the facility respond to Questionnaire (5-10 hours per Type of Facility)
- Identification of internal obligations (varies a great deal)
- People work to filter lists (5 hours per jurisdiction)
- SME review legislation (5-10 hours per jurisdiction)
- Updates (2-8 hours per month per jurisdiction)
Compliance Topics

- Environmental
- Occupational Safety
- Public Safety
- Finance
- CyberSecurity
- Privacy
- Bribery
- Other...
A software solution is critical to a successful compliance program.

- 75% of all operations still monitor regulatory obligations manually with minimal staff.
- 80% of the 43 countries Nimonik monitors are planning to issue new EHS regulations this year.
- 65% of an organization’s costs for monitoring regulations can be reduced with a software solution.